

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:	
Wilson Sing-Hei SO et al.	Examiner: Unknown
Serial No.: Unknown	Art Unit: Unknown
Filed: March 18, 2004	
For: SYSTEM, METHOD AND COMPUTER PROGRAM PRODUCT FOR CONDUCTING A SECURE TRANSACTION VIA A NETWORK	

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 CFR §§1.97-1.98**

Sir:

In accordance with the duty of disclosure under 37 CFR §1.56 and pursuant to 37 CFR §§1.97-1.98, Applicants hereby notify the U.S. Patent and Trademark Office of the references listed on the attached Form PTO-1449. Pursuant to the PTO's waiver of the requirements under 37 CFR 1.98(a)(2)(i) for submitting a copy of each cited U.S. patent/patent application for new applications filed after June 30, 2003, we are not submitting copies of the U.S. patents/patent applications cited. However, we are enclosing copies of the foreign and non-patent literature documents.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants reserve the right to dispute any of the listed documents as prior art during examination. Furthermore, Applicants do not waive any right to take any action that

would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.


The Examiner is requested to initial the enclosed Form PTO-1449 and return a copy thereof to the undersigned.

If for any reason an insufficient fee has been paid, please charge the insufficiency to Deposit Account No. 05-0150.

Dated: 3/18/04

Respectfully Submitted,  
Wilson Sing-Hei SO et al.

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

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of

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**Complete if Known**

Application Number

Unknown

Filing Date

March 18, 2004

First Named Inventor

Wilson Sing-Hei SO

Art Unit

Unknown

Examiner Name

Unknown

Attorney Docket Number

36992.00107 (HAL 265)

**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US- 6,119,230	09-12-2000	Carter, Stephen R.	
		US- 6,457,126	09-24-2002	Nakamura et al.	
		US- 2002/0077992 A1	06-20-2002	Tobin, Christopher M.	
		US- 2002/0166033 A1	11-07-2002	Kagami et al.	
		US- 2002/0178370 A1	11-28-2002	Gurevich et al.	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
		WO 99/64995	12-16-1999	Barclays Bank PLC		
		WO 02/15036	02-21-2002	Motorola, Inc.		
		WO 02/078199	10-03-2002	Comsense Technologies Ltd.		

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Application Number	Unknown
Filing Date	March 18, 2004
First Named Inventor	Wilson Sing-Hei SO
Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	36992.00107 (HAL 265)

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		AKIRA KANEHIRA, JUN MIYAKE, TAKASHI TOSUKA, "PIN Secure MultiMediaCard to Support the Secure Ubiquitous Society", Hitachi Review 2003, pages 6-10 URL: <a href="http://www.hitachi.com/rev/2003/revmar_s/pdf/rs_102.pdf">http://www.hitachi.com/rev/2003/revmar_s/pdf/rs_102.pdf</a>	
		HITACHI GLOBAL: News Releases from Headquarters, "Hitachi Releases PIN MultiMediaCard as Industry's First Flash Card with User Authentication Function", Written by Hitachi Corporate Communications Division, February 25, 2002, 3 pages, Tokyo, Japan. URL: <a href="http://www.hitachi.com/New/cnews/E/2002/0225/">http://www.hitachi.com/New/cnews/E/2002/0225/</a>	

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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